

# PROFESSIONAL QUALIFICATIONS TO GIVE YOU THE EDGE

*Anti Money Laundering  
Governance, Risk and Compliance  
Financial Crime Prevention*

# Inspiring times for regulatory and financial crime compliance professionals

As our founder and President, Bill Howarth says, *'compliance professionals are now at the very heart of the business and it is our job to support them to be the best they can be.'*

Whether you are thinking about starting a new career in this area or are an experienced practitioner looking for the tools and recognition to carry out your role with confidence, the International Compliance Association is here to support you in the following three ways:

## **Knowledge**

Knowledge is the fuel that drives the highest levels of professionalism. Since 2001, we have been instrumental in creating and developing high standards for regulatory and financial crime compliance practitioners by working with industry partners and regulators, and through our suite of professional qualifications. ICA qualifications are recognised as the global benchmark of excellence, designed to enable you to think more, perform better and excel in your field. Our wealth of member resources, tools and events also ensures your continuous professional development once your studies are over.

## **Recognition**

Being a member of ICA and gaining one of our respected qualifications demonstrates your commitment to ongoing learning, best practice and ethical behaviour, and therefore enhances your professional reputation.

## **Community**

A powerful benefit, as reported by our members, is the opportunity to meet fellow professionals to share insights and ideas. You will be able to connect, collaborate and form important networks.

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**What are the  
benefits of  
professional  
qualifications?**

**Investing in your future with a professional qualification takes both time and effort, but the results are worthwhile.**

### **You'll perform better**

ICA qualifications don't just train you in academic concepts and international frameworks: they also empower you to perform better by taking a practical approach to real-life issues that you encounter in your day-to-day role. You will learn best practice, gain specialist knowledge and acquire professional tools as part of your education so that you can be the best you can be in your role.

### **You'll improve your career options...**

The professional recognition that you'll gain from an ICA qualification will open doors for you. Many students have said that they received a promotion or were able to move to a higher paid job as a direct result of gaining their qualification.

### **...or have the chance to begin a new career**

A professional qualification speaks volumes to prospective employers, giving you an advantage over non-qualified applicants. You will be demonstrating your desire to develop your subject matter knowledge as well as a commitment to your new career choice.

### **You'll help reduce risk at your firm**

ICA qualifications are essentially centred on risk mitigation: regulatory, criminal, reputational, and financial. These risks can have a huge impact on your firm. The courses will enable you to develop strategies to help manage and prevent these risks and make informed judgements.

A blue-tinted photograph of four business professionals (three women and one man) walking down a modern staircase. The man is in the center, wearing a suit and tie, with his hand on the shoulder of the woman in front of him. The woman in front of him is wearing a dark blazer and skirt, carrying a large black bag. To the left, another woman in a dark dress is walking down the stairs. In the background, a woman with blonde hair is also visible. The overall scene conveys a sense of professional movement and decision-making.

**Which course  
is right for me?**



**First, you'll need to:**

- **select the topic you're interested in (this could be a personal or professional interest)**
- **understand the differences between the academic levels of the qualifications**
- **check that you meet the entry criteria**
- **consider your previous experience and future aspirations.**

**Certificates (introductory level)** are designed for those with little or no knowledge of the subject area. They provide a strong foundation in understanding the key concepts and issues involved and are an excellent base from which to progress onto courses at a higher level.

**Specialist Certificates** are perfect for those wanting detailed yet accessible knowledge in niche areas of compliance and money laundering risk e.g. betting and gaming and conduct risk.

**Advanced Certificates (intermediate level)** are designed for those new to a discipline or in a junior role and will help expand your knowledge and skills.

**Diplomas (advanced level)** are primarily designed for those who already hold some relevant experience and are looking to develop more specialist skills in the management of risk.

**Professional Postgraduate Diplomas (expert level)** are designed to build on levels of experience of five years or more at a senior level. The courses will develop your skills in business strategy, critical thinking and leadership.

You will need to consider the time and cost implications of each qualification to ensure it fits in with your schedule and career aspirations. Whichever course you choose, you are sure to find it interesting and rewarding.



## ICA Certificates and Diplomas

- A benchmark of competence and excellence
- Highly practical, giving you skills that can be transferred easily into the workplace
- Awarded in association with Alliance Manchester Business School, The University of Manchester
- Internationally recognised by employers and regulators

“ *The ICA International Diploma in anti money laundering is a fully comprehensive course covering all aspects of AML, both on a domestic and international level. People who want to advance their career should take this diploma as it will provide them with all the tools they need. Teachers were well informed and kept everything interactive.*

Andrew Aitken, Financial Crime Consultant

Visit [www.int-comp.org/syllabus](http://www.int-comp.org/syllabus) to download a full course syllabus



## Introductory

ICA  
Certificate in  
**Anti Money  
Laundering**

ICA Certificate in  
**Compliance**

ICA Certificate in  
**Financial Crime  
Prevention**

ICA Certificate in  
**KYC and CDD**

ICA Certificate in  
**Managing  
Sanctions Risk**

## Specialist

ICA Specialist Certificate in  
**Anti-Corruption**

ICA Specialist Certificate in  
**Combating the Financing  
of Terrorism**

ICA Specialist Certificate in  
**Trade Based  
Money Laundering**

ICA Specialist  
Certificate in  
**Financial  
Crime Risk  
in Global  
Banking and  
Markets**

ICA Specialist  
Certificate in  
**Money  
Laundering  
Risk in  
Betting and  
Gaming**

ICA Specialist  
Certificate in  
**Money  
Laundering  
Risk in  
Correspondent  
Banking**

ICA Specialist  
Certificate in  
**Conduct Risk**

ICA Specialist  
Certificate in  
**Financial  
Crime Risk  
in Mobile  
Financial  
Services**

ICA Specialist  
Certificate in  
**Money  
Laundering  
Risk in New  
Technology**

ICA Specialist  
Certificate in  
**Corporate  
Governance**

ICTTF  
Certified  
**Cyber Risk  
Specialist**

## Intermediate

ICA International  
Advanced Certificate in  
**Anti Money  
Laundering**

ICA Advanced  
Certificate in  
**Practical Customer  
Due Diligence**

ICA Advanced  
Certificate in  
**Managing Fraud**

ICA Advanced  
Certificate in  
**Managing  
Sanctions Risk**

ICA Certificate in  
**Regulatory  
Compliance**

ICA Advanced  
Certificate in  
**Legal Compliance**

ICA Advanced  
Certificate in  
**Business Compliance**

ICTTF Certified  
**Cyber Risk Officer**

## Advanced

ICA International  
Diploma in  
**Anti Money Laundering**

ICA Diploma in  
**Governance, Risk and  
Compliance**

ICA International Diploma in  
**Financial Crime Prevention**

## Expert

ICA Professional  
Postgraduate Diploma in  
**Governance, Risk and Compliance**

ICA Professional  
Postgraduate Diploma in  
**Financial Crime Compliance**

**“** *Since completing the course, I have had a much greater understanding and awareness when carrying out my role.*

Dean Smith, Compliance Assistant

## **ICA Certificates**

- Introductory level
- Open to anyone wishing to learn more about the subject
- Up to three months to complete the course
- One-day workshop (where offered)
- One-hour multiple-choice exam taken online

Visit [www.int-comp.org/syllabus](http://www.int-comp.org/syllabus) to download a full course syllabus

## ICA Certificate in **Anti Money Laundering**

- Understanding money laundering, terrorist financing and sanctions
- Vulnerabilities of financial institutions to money laundering and terrorist financing
- Anti money laundering and combating terrorist financing in practice
- Anti money laundering and combating terrorist financing – legal and regulatory structures
- Management obligations and the risk-based approach to money laundering and terrorist financing

## ICA Certificate in **KYC and CDD**

- CDD – why bother?
- The risk-based approach to CDD
- Key CDD concepts
- Core KYC frameworks
- What it's like working in KYC

## ICA Certificate in **Compliance**

- Understanding the regulatory environment
- Regulation in practice
- Compliance in practice
- Anti money laundering
- Financial crime prevention
- Managing risk

## ICA Certificate in **Financial Crime Prevention**

- What is financial crime?
- What are the financial crime risks?
- Fraud controls
- Banking – fraud typologies
- Identity theft and electronic crime
- Bribery and corruption
- Fraud response policy
- The English legal system – a case study

## ICA Certificate in **Managing Sanctions Risk**

- Understanding sanctions
- The international context
- Defining a sanctions governance framework
- Sanctions lists and screening
- Managing alert investigations
- The cost of getting it wrong
- The challenges of change



## ICA Specialist Certificates

- Detailed yet accessible knowledge in niche areas of risk
- Open to anyone wishing to learn more about the subject
- Up to three months to complete the course
- Online learning
- One-hour multiple-choice exam taken online\*

\*The ICTTF Cyber Risk Specialist is assessed by weekly exams in accordance with ICTTF.

Visit [www.int-comp.org/syllabus](http://www.int-comp.org/syllabus) to download a full course syllabus

## ICA Specialist Certificate in Anti-Corruption

- Essential concepts in anti-corruption
- The consequences of corruption
- Shining a spotlight on corruption
- Introduction to the US Foreign Corrupt Practices Act and the UK Bribery Act
- The enforcement of global anti-corruption legislation
- Key issues in assessing corruption risk
- Achieving anti-corruption compliance

## ICA Specialist Certificate in Combating the Financing of Terrorism

- Introduction to terrorism
- Hierarchy of international and regional legislative and regulatory framework
- Terrorism threats and risk assessment
- Risk management
- When things go wrong!
- Key international terrorist group details
- Red flag indicators

## ICA Specialist Certificate in Conduct Risk

- Understanding conduct risk
- What does good conduct risk look like for a firm?
- What does good conduct risk look like for customers?
- The importance of good market conduct
- Impacts of poor market conduct

## ICA Specialist Certificate in Trade Based Money Laundering

- International trade and receivables finance
- Introduction to money laundering, terrorist financing and proliferation
- International laws, regulations and industry guidance
- Managing risk
- Money laundering typologies
- Terrorist financing, resourcing and sanctions
- Further financial crime risk considerations

## ICA Specialist Certificate in Money Laundering Risk in Correspondent Banking

- Essential AML concepts
- Fundamentals of correspondent banking
- Regulatory frameworks
- Money laundering risks inherent in correspondent banking
- Approaching due diligence in correspondent banking
- Monitoring
- Sanctions

## ICA Specialist Certificate in Money Laundering Risk in Betting and Gaming

- Essential AML concepts
- The gambling market – a summary
- Money laundering risks in gambling
- Risk-based approach, culture and governance
- AML in operation
- Market outlook

## ICA Specialist Certificate in Financial Crime Risk in Global Banking and Markets

- Corporate banking and financial market environment
- Regulatory environment, risks and impacts on the world of corporate banking
- Risk exposure and typologies around specific customer types
- Foreign exchange, securities, derivatives and their susceptibility to financial crime
- CDD process and its role in the financial crime risk management lifecycle

## ICA Specialist Certificate in Financial Crime Risk in Mobile Financial Services

- Essential financial crime concepts
- Mobile financial services in practice
- Mobile financial services and the risk-based approach
- Mobile financial services and CDD
- The future of mobile financial services – advances and regulations

## ICA Specialist Certificate in Money Laundering Risk in New Technology

- Essential financial crime concepts
- Fundamentals of new and emerging technologies
- New technologies in practice RegTech
- Topic analysis: cryptocurrency
- The future of the digital revolution in financial services

## ICA Specialist Certificate in **Corporate Governance**

- The function and purpose of the organisation
- The governance of regulated enterprise and the purpose of the Board of Directors
- The importance, impact and opportunity of organisational culture
- Conflict management in the organisation
- Organisational structure, hierarchy and policy frameworks
- Communication strategy
- Internal control and influence power
- Broad-base governance – beyond the balance sheet
- The digital revolution and its influence on governance
- Governance – a never ending story

## ICTTF Certified **Cyber Risk Specialist**

- Understanding cyber risks and a little technology
- Cyber strategy – the business case
- Cyber risk quantification and metrics
- Cyber leadership and culture
- Cyber risk and the law
- Cyber resilience and incident management
- Third party cyber risk – vendors and remote workers
- Putting it together: developing a cyber risk strategy

## ICA Advanced Certificates

- Intermediate level
- Open to anyone wishing to learn more about the subject
- Six months' duration
- Two/three workshops (where offered)
- Two written assignments (2,000–2,500 words)\*

\*The ICA Advanced Certificate in Practical CDD is assessed by a three-hour practical examination. There is no written assignment for this course.

\* The ICTTF Certified Cyber Risk Officer is assessed by continuous assessments in accordance with ICTTF.

Visit [www.int-comp.org/syllabus](http://www.int-comp.org/syllabus) to download a full course syllabus

## ICA Advanced Certificate in **Managing Fraud**

- Introduction to fraud
- International context
- Global anti-fraud frameworks
- Understanding risks
- The 'fraudster'
- Formulating an effective counter-fraud strategy
- Managing the response to fraud
- The future of fraud

## ICA Advanced Certificate in **Business Compliance**

- Compliance in business
- Compliance in practice
- The role of the compliance function
- Risk management
- Compliance topics, improvement and advantage

## ICA Advanced Certificate in **Legal Compliance**

- The regulatory framework for solicitors in England and Wales
- Regulation in practice
- The role of the compliance officers in law firms
- Understanding the regulator's key principles
- Understanding legislation of relevance to the compliance function

## ICA International Advanced Certificate in **Regulatory Compliance**

- Understanding the regulatory environment
- International regulation
- Compliance in practice
- Managing the risk of financial crime compliance

## ICA International Advanced Certificate in **Managing Sanctions Risk**

- Global sanctions architecture – political, legal and implementation context
- Evolving scope of sanctions – a changing tool
- Management of global exposure to unilateral and regional sanctions
- Identifying higher risk jurisdictions and activities
- Transparency, control and ownership
- Licensing and permissible payments
- Developing the control framework
- Incident management and holding of sanction funds
- Sanctions lifting and roll back

## ICA International Advanced Certificate in **Anti Money Laundering**

- The international context
- Legal and regulatory frameworks
- AML in practice
- Sanctions
- Customer due diligence (CDD)
- Managing the risk of money laundering and terrorist financing
- Transaction monitoring and filtering framework
- Suspicious activity reporting
- Emerging industry sectors
- Escalations and exits

## ICA Advanced Certificate in **Practical Customer Due Diligence (CDD)**

- Outcomes and success criteria of KYC
- Identification and verification
- Understanding the customer
- Understanding the relationship
- Beneficial ownership
- Understanding the control structure of the entity
- Customer screening
- Risk acceptance
- Enhanced due diligence (EDD)

## ICTTF Certified **Cyber Risk Officer**

- Demystify a subject that is integral to your risk management approach with learning that cuts through the jargon
- Enhance your confidence and capabilities in a world often dominated by IT or third-party consultants
- Gain the knowledge to build, implement or support a cyber risk management framework for your organisation
- Become a 'Certified Cyber Risk Officer' in just 8 weeks with a jointly awarded certification from the ICTTF and ICA



## ICA Diplomas

- Advanced level
- Open to those who either hold a degree, an ICA Advanced Certificate or who have three years' relevant work experience
- Nine months' duration
- Four workshops (where offered)
- Three written assignments (each 3,000–3,500 words in length)



*This course has broadened my horizons and improved my knowledge in technical areas. It has been a valuable investment in my professional development*

Blair Miller, RBS



## ICA International Diploma in **Anti Money Laundering**

- Anti money laundering (AML) and countering the financing of terrorism (CFT) in context
- Terrorist financing, proliferation financing and sanctions
- Designing a comprehensive AML/CFT risk-based approach for a financial services business
- Risk-based customer due diligence (CDD), customer risk profiling and monitoring
- Governance and leadership
- Suspicious activity reports/suspicious transaction reports and dealing with the authorities

## ICA International Diploma in **Governance, Risk and Compliance**

- Understanding governance, risk and regulatory compliance
- Why we need to understand the regulated environment
- Why are governance and culture essential for effective regulatory compliance risk management?
- The role of the compliance department and the compliance professional
- Risk management as the key to effective compliance
- Case studies

## ICA International Diploma in **Financial Crime Prevention**

- Understanding and managing financial crime
- Practical application of the International Standards
- The prevention and detection of specific financial crime risks
- Data and information security
- Bribery and corruption
- Electronic crime
- Investigation, prosecution and recovery




*I am going to rewrite my business risk assessment and really look at our products, risk appetite, mitigation issues, procedures and training – I now feel energised and enthusiastic in my role!*

## **ICA Professional Postgraduate Diplomas**

- Expert level
- Open to senior professionals who satisfy the course entry requirements (see [www.int-comp.org/entry](http://www.int-comp.org/entry))
- 9–12 months' duration
- Eight masterclasses held over two weekends in Oxford
- Reflective journal (8 x 1,000–1,500 words)
- Three-hour competency-based interview

Visit [www.int-comp.org/syllabus](http://www.int-comp.org/syllabus) to download a full course syllabus



## ICA Professional Postgraduate Diploma in **Governance, Risk and Compliance**

- Building and leading an effective GRC leadership team
- Strategic risk management for leaders
- The future of payment services
- Current and emerging regulatory 'Hot Topics'
- GRC leadership
- Using corporate governance requirements for the benefit of the firm
- The effective use of information technology in GRC
- Developments in financial crime

## ICA Professional Postgraduate Diploma in **Financial Crime Compliance**

- Financial crime compliance
- Managing risk and creating value through critical thinking
- Fraud and internal threats
- Cyber security: threats to the organisation
- The effective use of information technology in financial crime compliance (FCC)
- AML/FCC in the context of organised crime and corruption
- De-risking – linking risk assessment to business strategy
- Sanctions: perspectives from the regulator

A high-angle photograph of five people in a meeting room, viewed from above. The room has large windows overlooking a city. The scene is overlaid with a semi-transparent blue filter. The text "How can I study?" is centered in white. The people are seated around a table with papers, a smartphone, and coffee cups. One man in the center is gesturing with his hands while speaking to the others.

**How can I study?**

**Balancing work-life commitments can be demanding for busy professionals. With this in mind we have developed a range of ways in which you can study to suit your lifestyle.**

### **Workshops**

Workshop-based courses are available in many countries. They provide a fantastic opportunity to embed your learning with real-life case studies and practical examples as well as allowing you to share experiences and network with your peers. All workshops are delivered by industry specialists who have international expertise and local knowledge.

### **Online learning**

If you're based in a country where workshops are not available, you can study via online learning. This is a flexible option so you can study at times convenient to you. Your course material is delivered through our easy-to-use online learning platform and is supplemented by webinars, practical exercises and case studies. You will be allocated a tutor whom you can contact at any point throughout your course for guidance and support. You can also join an online forum where you can get in touch with other students on your course.

### **Exam only (for Diplomas)**

If you are an experienced practitioner who holds appropriate qualifications, you can apply for the 'exam only' route to an ICA Diploma. This is a fast-track option for those who do not wish to attend workshops nor submit assignments. Where possible, however, we do encourage students to undertake the full version of the course in order to maximise the learning experience and achieve great results.

### **In-house**

We also offer high-quality training solutions to satisfy a wide range of training and education needs for small firms, multinationals and government agencies. Whatever the qualification or bespoke training requirements, and whatever the group size, we will work with you to find the best way to meet your training needs. Our customised, flexible learning will help you get the results you're looking for (as well as make your training budget go further).

# Alliance Manchester Business School: Original Thinking Applied

## The University of Manchester

Alliance Manchester Business School (AMBS) was established in 1965 as one of the UK's first two business schools. The School holds three accreditations from AACSB, AMBA and EQUIS and forms part of the prestigious University of Manchester, UK, which is home to 25 Nobel Prize winners.

'Original Thinking Applied' is at the heart of everything AMBS does. The School is ranked 2nd in the UK for research power (REF 2014) and its influential research impacts all areas of business and management – from accounting and health management to big data and human rights.

AMBS provides world-class, industry-focused education to undergraduates, postgraduates and executives from across the globe.

Its MBA is ranked in the UK's top 5 and its customised Executive Education courses are ranked 3rd in the UK (Financial Times 2020).

The University's centres in Dubai, Hong Kong, Shanghai and Singapore open up a world of opportunities for AMBS' students, researchers and clients, and the School's growing alumni community includes 60,000 graduates across 176 countries.

Committed to making a difference, AMBS supports and highlights research that will make a positive difference to society, addressing the major challenges faced in the 21st century. The School also prepares its graduates to exercise ethical, social and environmental responsibility at local, regional, national and international levels.



# Your next steps



## Download a syllabus

If you'd like to know more about what you'll learn from each course  
[www.int-comp.org/syllabus](http://www.int-comp.org/syllabus)



## Discover your course dates

Whether you are looking for the nearest workshops for your course or want to find out when the next online cohort starts, visit  
[www.int-comp.org/qualifications](http://www.int-comp.org/qualifications)



## Contact us

If you have any questions or would like to talk about which course is right for you, please get in touch.

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## Enrol online

You can enrol online for all courses here  
[www.int-comp.org/enrol](http://www.int-comp.org/enrol)

You must be a member of ICA while studying for an ICA qualification and an additional fee applies.



## Discuss your in-house requirements

Get in touch on **+44 (0) 0121 362 7518**  
or email [clientservices@int-comp.org](mailto:clientservices@int-comp.org)

Join in the conversation



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**ICA** INTERNATIONAL  
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